

SAFL/24 Confirmation No. 3110

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gregory C. Jensen et al.

Art Unit: 3621

Serial No.: 10/766,551

Examiner: Elisca E. Pierre

Filed:

January 28, 2004

Atty. Docket No.: SAFL/24

For:

**Electronic Transaction Verification System** 

## **TRANSMITTAL**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8 Third Supplemental Information Disclosure Statement

Substitute Form PTO-1449 (1 page)

5 Foreign Patent References

1 Other Document Reference (PCT International Search Report and Written Opinion mailed May 31, 2005)

Reply Postcard

Please charge any additional fees or credit overpayment to Deposit Account No.

23-3000. A duplicate of this sheet is enclosed.

WOOD, HERRON & EVANS, L.L.P.

2700 Carew Tower

441 Vine Street

Cincinnati, Ohio 45202

Telephone: (513) 241-2324

Facsimile: (513) 241-6234

By:

Reg. No. 29,799

## **CERTIFICATE OF MAILING 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 29, 2005.



#### **PATENTS**

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Judith L Volk

Serial No:

10/766,551

Filed:

January 28, 2004

Applicant:

Gregory C. Jensen et al.

Title:

**ELECTRONIC TRANSACTION VERIFICATION SYSTEM** 

Art Unit:

3621

Examiner:

Elisca E. Pierre

Atty Dock:

SAFL-24

Confirmation No.:

3110

Cincinnati, Ohio 45202

June 29, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §§§ 1.56, 1.97 and 1.704, the references listed on the attached Supplemental Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the foreign patent references are enclosed, while copies of the U.S. Patent and the two U.S. Patent Publications list under the "Other Documents" Section as references A.S. and A.T., respectively, are not provided. If the Examiner desires copies of these references, the Examiner is strongly urged to contact the

undersigned, and Applicants will provide copies forthwith. For completeness of the record, copies of the Search Report and Written Opinion received in the counterpart International Application to this case (Application No. PCT/US2005/000489) are also provided as listed as reference A.R. on the attached Substitute Form PTO-1449. The International Search Report was received in our office on June 2, 2005, and therefore, no fee is believed due for this submission in accordance with C.F.R. 37, §§ 1.97(e)(2) and 1.704(d).

The Examiner is respectfully requested to review each reference cited and to note the review on the attached PTO-1449 form and to reach their own independent determination of its materiality, if any.

# Certification under 37 C.F.R. § 1.97(e)(2)

...

Each item of information contained in the Supplemental Information

Disclosure Statement was first cited in a communication from a foreign

patent office in a counterpart foreign application not more than three months

prior to the filing of this Supplemental Information Disclosure Statement.

## Certification under 37 C.F.R. § 1.704(d)

The foreign patent office communication cited herein was not received by any individual designated in 1.56(c) more than thirty days prior to submission of this Supplemental Information Disclosure Statement.

Applicants know of no fees due with this submission as this paper is also filed prior to the receipt of a first Office Action on the Merits of the case. However, should any fees be deemed required, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Kurt/L. Grossman

Reg. No. 29,799

2700 Carew Tower 441 Vine Street Cincinnati OH 45202 513 241-2324 (voice) 513 241-6234 (facsimile)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT													ICANT ory C. Jensen	et al.	Confirmation No. 3110		
(Use several sheets if necessary)												G DATE ary 28, 2004		GROUP 3621			
U.S. PATENT DOCUMENTS																	
EXAMINER INITIAL	No.	TRADET NUMBER						₹	ISSUE DATE	PATENTI	PATENTEE		CLASS	S SUBCLA		FILING DATE IF APPROPRIATE	
	A.A	5	8	7	8	3	3	7	03/02/1999	Joao et al.	et al.		455	406		06/12/1997	
	A.B																
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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS																	
		DOCUMENT NUMBER						P	UBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS		TRANSLATION (YES/NO)	
	A.L	EP 0 745 961 A2 FR 2 801 995 GB 2 368 951 A WO 01/52205 A1						04.	12.1996	EPO	EPO France United Kingdom WIPO		G07F 7/08			Yes	
	A.M							08.	06.2001	France			G06F	G06F 17/60		No - ISR	
	A.N							15.	05.2002	United Kingdom			G07C 9/00 G07F 7/10		Yes Yes	Yes	
	A.O							19.	07.2001	WIPO						Yes	
	A.P	WO 03/036576 A2						01.	05.2003	WIPO	O G07F			7/10		Yes	
	A.Q																
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)																	
	A.R	PCT International Search Report and Written Opinion, for PCT/US2005/000489 mailed May 31, 2005.															
	A.S	SAKAGUCHI, Ikuo, Card Utilization Approval Method, Card Settlement System and Card Authentication and Settlement Processing Device, U.S. Patent Application Publication No. US-2001-0034707-A1 published October 25, 2001; Application Serial No. 09/838,185, filed April 20, 2001.															
	A.T	LEIVO, Mika et al., <u>Arrangement for Authenticating User and Authorizing Use of Secured System</u> , U.S. Patent Application Publication No. US-2003-0128822-A1 published July 10, 2003; Application Serial No. 10/320,602, filed December 17, 2002.															
EXAMINER									DATE CO	DATE CONSIDERED							
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